

041304

Please type a plus (+) sign in this box →

+

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No. L111.12-0105

First Inventor or Application Identifier Todd Landon et al.

Title METHOD OF PRODUCING A HEAT STABLE OIL-IN-WATER EMULSION AND THE PRODUCTS MADE THEREFROM

Express Mail Label No. EV178022806US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Address To: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ *Fee Transmittal Form e.g., PTO/SB17)
(Submit an original and a duplicate for fee processing)

2. ☐ Applicant Claims small entity status

3. ☒ Specification [Total Sheets 48]
(preferred arrangement set forth below)
- Descriptive title of the Invention)
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☐ Drawing(s) (35 U.S.C. § 113) [Total Sheets]

5. Oath or Declaration [Total Sheets 3]

- a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)

- a. ☐ Computer Readable Copy
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 Copies); or
ii. ☐ Paper
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO – 1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request Under 35 USC 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation –in part (CIP)

of prior application No: /

Prior application information:

Examiner

Group/Art Unit:

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE

☒ Customer Number or Bar Code Label

27367

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name Z. Peter Sawicki
WESTMAN CHAMPLIN & KELLY

Address Suite 1600 – International Centre
900 Second Avenue South

City Minneapolis

State

MN

Zip Code

55402-3319

Country USA

Telephone

(612) 334-3222

Fax

(612) 334-3312

Name (Print/type) Z. Peter Sawicki

Registration No. (Attorney/Agent)

30,214

Signature

Z. Peter Sawicki

Date

4/13/04

17364 U.S. PTO

FEE TRANSMITTAL

Complete if Known

Application No.

Filing Date

First Named Inventor

Todd Landon et al.

Title

METHOD OF PRODUCING A HEAT STABLE
OIL-IN-WATER EMULSION AND THE
PRODUCTS MADE THEREFROM

Group Art Unit

Examiner Name

Total Amount of Payment \$ 3,200.00

Atty. Docket Number

L111.12-0105

METHOD OF PAYMENT (Check One)

FEE CALCULATION (Continued)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123.
Westman, Champlin & Kelly, P.A.

2. ☒ Credit Card Payment Form (PTO-2038)

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Fee Description

1001 770 2001 385 ☒ Utility Filing Fee1002 340 2002 170 ☐ Design Filing Fee1004 770 2004 385 ☐ Reissue Filing Fee1005 160 2005 80 ☐ Prov. Filing Fee

Subtotal (1) \$ 770

2. EXTRA CLAIM FEES

| | Number Claims | Prior** | Extra | Fee from Below | Fee Paid |
|-------|------------------|---------|-------|-------------------|----------|
| Total | 148 | 20 | 128 | 18 | 2304 |

| | Number Claims | Prior** | Extra | Fee from Below | Fee Paid |
|--------|------------------|---------|-------|-------------------|----------|
| Indep. | 4 | 3 | 1 | 86 | 86 |

Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater; Reissue see below

| Large Entity | | Small Entity | | Description |
|--------------|-------------|--------------|-------------|---|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple Dependent Claims |
| 1204 | 86 | 2204 | 43 | Reissue Independent Claims over Original Patent |
| 1205 | 18 | 2205 | 9 | Reissue claims in excess of 20 and over original patent |

Subtotal (2) \$ 2390

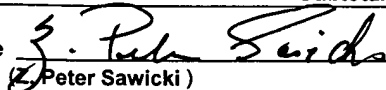
3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|-------------|--------------|-------------|--|-------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - Late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - Late provisional Filing Fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For Filing a Request for Reexamination. (ex parte) | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1814 | 110 | 2814 | 55 | Terminal Disclaimer Fee | |
| 1452 | 110 | 2452 | 55 | Petition to Revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to Revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility/Reissue issue fee (inc. advance copies) | |
| 1502 | 480 | 2502 | 240 | Design issue fee (inc. advance copies) | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Petitions related to provisional applications | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Statement | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | 40 |

Other Fee (specify) _____

Subtotal (3) \$40

Signature



(Peter Sawicki)

Reg. No. 30,214

Date

April 13, 2004

Deposit Account No. 23-1123